# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))

01/22/2002

Docket No. 0545.024

In I	Re.	Appl	ication	Of:	RODE
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Serial No. Filing Date 10/054,253

Examiner

Xuan Lan T. Nguyen

**Group Art Unit** 

3683

Title: ADJUSTABLE DISC SPRING SYSTEMS AND METHODS

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Address to:

**Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

### 37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

### 37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - ☑ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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In Re Application: RODE									
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Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  GROUP 360									
as described belo Charge th Credit an Charge a Certificate of	ereby authorized to charge and cow.  ne amount of y overpayment. ny additional fee required.  Fransmission by Facsimile*  ent and authorization to charge deposit e transmitted to the United States Patent	Certificate of Maili  I certify that this document  12/	and fee vith the U .F.R. 1.8 Patents 0.	First Class Mail is being deposited on J.S. Postal Service as 8 and is addressed to					
Typed or Printed N		Typed or Printed Name of Person Mailing Certificate							
*This certificate may only be used if paying by  deposit account.  Dated: 12/ 1/2003  VICTOR A. CARDONA, ESQ.  Attorney for Applicant - Registration No. 44,589									
HESLIN ROTHENBERG 5 Columbia Circle Albany, New York 12203 Phone: 518-452-5600 Fax: 518-452-5579	FARLEY & MESITI P.C.								
cc:					1				

## STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

Docket No. 0545.024

In Re Application Of: RODE

Serial No.

Filing Date

Examiner

**Group Art Unit** 

10/054,253

01/22/2002

Xuan Lan T. Nguyen

3683

Invention: ADJUSTABLE DISC SPRING SYSTEMS AND METHODS

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.



### TO THE COMMISSIONER FOR PATENTS:

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GROUP 3600

Check applicable statement herebelow:

## Statement Under 37 CFR 1.97(e)(1)

☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

### Statement Under 37 CFR 1.97(e)(2)

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Dated: December  $\frac{\alpha}{1}$ , 2003

VICTOR A. CARDONA, ESQ.

Attorney for Applicant - Registration No. 44,589

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4/2003

with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450.

Signature of Person Mailing Correspondence

VICTOR A. CARDONA

Typed or Printed Name of Person Mailing Correspondence

CC: